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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John S. Hendricks, et al.

Attorney Docket No.: 5062

Serial No.: 08/958,088 ✓

Examiner: Grant, C.

Filed: October 27, 1997 ✓

Group: 2711

For: **DIGITAL CABLE HEADEND FOR CABLE TELEVISION  
DELIVERY SYSTEMS**

This application is a continuation of patent application Serial No. 08/160,283, filed on December 2, 1993, which is a continuation-in-part of patent application Serial No. 07/991,074, filed on December 9, 1992.

#### SUPPLEMENTAL DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Sections 1.56, 1.97 and 1.98 of Title 37 of the Code of Federal Regulations, the following information is set forth in compliance with applicant's duty of disclosure, as well as duty of others substantively involved in the preparation of the above-referenced application.

During preparation and prosecution of the above-referenced application, as well as related domestic and foreign applications, the applicants and others substantively involved in the preparation of the application became aware of the cited references, copies of which are enclosed.

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	<b>U.S. Patent No.</b>	<b>Inventor(s)</b>	<b>Publication Date</b>
(1)	US 5,570,126	Blahut et al.	October 29, 1996
(2)	<i>Human Factors In Telecommunications</i>	Sorce, et al.	September 10-14, 1990
(3)	US 5,661,516	Carles	August 26, 1997
(4)	US 5,724,091	Freeman, et al.	March 3, 1998
(5)	US 5,343,516	Callele, et al.	August 30, 1994
(6)	GB 2 177 873A	Davidson	January 28, 1987
(7)	US 4,805,014	Sahara, et al.	February 14, 1989

A one (1) page form PTO-1449 listing the above-mentioned publications is attached.

References (1), (2), (6), and (7) are of record in related U.S. applications, most of which are assigned to the Examiner of record in this application, and therefore should be familiar to the Examiner.

The references submitted with this statement, including those listed above, should not prevent the grant of a patent to Applicants for their invention. Applicants do not admit that the cited references are prior in time to the effective filing date of this application.

The present statement reflects the direct knowledge of the undersigned and information disclosed to the undersigned by Applicants and others substantively involved in the preparation of the present application pursuant to their duty under section 1.56 of Title 37 of the Code of Federal Regulations.

#### **No Fee Required**

To the best of applicant's knowledge the information disclosure statement is being submitted prior to the mailing of the First Office Action. Therefore, no fee is required. If any fee is required, the Commissioner is hereby authorized to charge any fee resulting from the filing of this disclosure statement to Deposit Account No. 04-1425. A duplicate copy of this document is submitted for that purpose.

Applicants requests that the enclosed documents be considered by the Examiner and  
made of record in this file.

Respectfully submitted,

JOHN S. HENDRICKS, ET AL.

Dated: MAY 13, 1998

By: 

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